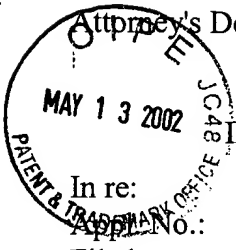


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Attorney's Docket No. 38866/204611

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: R. Raymond May
App. No.: 09/680,365
Filed: October 5, 2000
For: SYSTEMS FOR SWITCH AUCTIONS UTILIZING RISK POSITION
PORTFOLIOS OF A PLURALITY OF TRADERS

Group Art Unit: 2164
Examiner: Coles, S.

May 7, 2002

Commissioner for Patents
Washington, DC 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, on May 7, 2002.

Laisha Richardson